

Evolving land-atmosphere interactions over North America from CMIP5 simulations

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1 **Abstract:**

2 Long-term changes in land-atmosphere interactions during spring and summer are
3 examined over North America. A suite of models from the Coupled Model
4 Intercomparison Project Phase 5 (CMIP5) simulating pre-industrial, historical and
5 severe future climate change scenarios are examined for changes in soil moisture,
6 surface fluxes, atmospheric boundary layer characteristics and metrics of land-
7 atmosphere coupling.

8 CMIP5 simulations of changes from pre-industrial to modern conditions show
9 warming brings stronger surface fluxes at high latitudes, while subtropical regions
10 of North America respond with drier conditions. There is a strong response in mid-
11 latitudes associated with anthropogenic aerosols, which reduce surface radiation
12 and heat fluxes, leading to a shallower boundary layer and lower cloud base. Over
13 the Great Plains the signal does not reflect a purely radiatively-forced response,
14 showing evidence that the expansion of agriculture may have altered land surface
15 properties in a way that offsets the aerosol impacts on the surface energy and water
16 cycle.

17 Future changes show soils are projected to dry across North America, even though
18 precipitation increases north of a line that retreats poleward from spring to summer.
19 Latent heat flux also has a north-south dipole of change, increasing north and
20 decreasing south of a line that also moves northward with the changing season.
21 Metrics of land-atmosphere feedback increase over most of the continent, but are
22 strongest where latent heat flux increases in the same location and season where
23 precipitation decreases. Combined with broadly elevated cloud bases and deeper

- 1 boundary layers, land-atmosphere interactions are projected to become more
- 2 important in the future, with possible consequences for seasonal climate prediction.
- 3

1 **1. Introduction**

2 A large number of studies with regional and global models and observed data sets
3 over the last three decades have demonstrated that the state of the land surface has
4 a significant influence on the atmosphere. Soil moisture is the most important land
5 surface state variable affecting the global atmosphere on intraseasonal to
6 interannual time scales (Dirmeyer 2011a). Climate modeling and observational
7 studies have shown that a large portion of North America demonstrates a feedback
8 of the land surface onto the atmosphere during summer (e.g., Koster et al. 2004;
9 Findell et al. 2011). Guo et al. (2011) showed that potential predictability from soil
10 moisture is high over North America. North America also demonstrates the
11 strongest improvement in prediction skill from the realistic initialization of the land
12 surface for seasonal forecasts (Koster et al. 2011). The location of maximum land-
13 atmosphere coupling can vary in space (Koster et al. 2011) and its strength can vary
14 from year to year (Guo and Dirmeyer 2012) depending on the pattern of the
15 climatology of soil moisture and the fluctuation of its anomalies.

16 These results raise questions. Have the interactions between land and atmosphere
17 changed since pre-industrial times, when atmospheric composition, aerosol loading,
18 and global vegetation cover were different? More importantly, will land-atmosphere
19 interactions change in the future? The Coupled Model Intercomparison Project
20 Phase 5 (CMIP5; Taylor et al. 2012) provides an opportunity to address these
21 questions in a multi-model framework.

22 This study has been conducted under the aegis of the “CMIP5 Task Force”
23 coordinated under the Modeling, Analysis, Prediction and Projection (MAPP)
24 program of the National Oceanic and Atmospheric Administration (NOAA) Climate

1 Program Office. The overall goal of the task force is to evaluate CMIP5 simulations of
2 the 20th century climate specifically over North America, as well as the character of
3 long-term predictions and projections of future climate. This study focuses
4 specifically on the developing role the land surface and land-atmosphere
5 interactions in a changing climate over North America. We focus on the spring and
6 summer seasons, because previous research indicates these are the most crucial for
7 land-climate interactions in this region and their impact on predictability and
8 prediction on sub-seasonal to seasonal time scales (e.g., Dirmeyer et al. 2009; Guo et
9 al. 2012).

10 Section 2 describes the data used and the techniques for estimating metrics and
11 indices of land-atmosphere interaction from the model simulations. Results and
12 synthesis are given in sections 3 and 4. A discussion and conclusions are presented
13 in section 5.

14

15 **2. Data and Techniques**

16 Monthly mean output fields from land and atmospheric data sets from 15 models
17 are used. Table 1 lists the models examined in this study. The choice of models was
18 predicated on several factors. Most important was the availability of a complete set
19 of necessary model output variables from a single ensemble member for each of
20 three simulations: the pre-industrial experiment (past), the historical experiment
21 (present), and the 8.5 Wm⁻² representative concentration pathways (RCP85)
22 experiment (future). For each, we use 95 years of model output for calculation of
23 statistics and land-atmosphere coupling metrics. For the historical run, that

1 corresponds to the period 1911-2005 in the simulation. For the pre-industrial and
2 RCP85 simulations, we chose the last 95 years of the available time series of data.
3 The data within each 95-year time series is detrended so that statistics such as
4 interannual standard deviations and temporal correlations between variables
5 emphasize the short-term (interannual) variability characteristic of land-climate
6 interactions. This also ensures the comparisons between experiments are consistent,
7 since the historical run likely has an inherently significant trend that the other two
8 experiments should not. The RCP85 projection is chosen over other less severe
9 climate change scenarios with the rationale that signals not evident in this run must
10 also be absent from the other projections, so this case should provide a sort of
11 “upper bound” on coupled land-atmosphere responses in the CMIP5 dataset. The
12 variables used in this study are the soil wetness in the top 10cm of the soil column,
13 surface sensible and latent heat fluxes, near surface temperature and relative
14 humidity (nominally 2m above ground level). We do not analyze precipitation, as we
15 are concerned here with what may be considered the upward branch of the
16 hydrologic feedback loop between land and atmosphere. Precipitation is the
17 principal hydrologic input to the land surface, at the opposite end of the “cycle” from
18 the land surface feedback to the atmosphere. Because of the complex and non-linear
19 nature of convective processes, it is very difficult to discern the effect of the land
20 surface on precipitation without carefully constructed sensitivity studies (cfr. Koster
21 et al. 2006; Guo et al. 2006) that are beyond the scope of CMIP5.

22 All statistics are calculated separately for each month over a 95-year period and
23 then averaged to seasonal values. All calculations are performed on each model’s
24 native grid, for only ice-free land points based on each model’s land-sea-ice mask.

1 The data from each model are then interpolated bi-linearly onto the operational grid
 2 of the European Centre for Medium-range Weather Forecasts (ECMWF) operational
 3 forecast model, which is T1279 or a regular longitude-latitude grid of 2560x1280
 4 grid boxes. This is a much higher resolution than any of the CMIP5 models, thus
 5 ensuring no information content is lost in the process of interpolating down to
 6 lower resolution grids, or via inadvertent smoothing when interpolating to a shifted
 7 grid of similar resolution. We retain only the grid points on the high-resolution grid
 8 that overlay land grid boxes of more than 80% of the CMIP5 models.

9 In addition to basic means and inter-annual standard deviations of the model output
 10 variables, we calculate several derived terms to investigate land-atmosphere
 11 interactions in the CMIP5 simulations. These include one-month lagged
 12 autocorrelations for soil moisture (soil moisture memory), un-lagged correlation
 13 between variables, and the terrestrial coupling index of Dirmeyer (2011b):

$$14 \quad I(w_m, \phi_m) = \frac{\sum (w'_{m,y} \phi'_{m,y})}{\sqrt{\sum (w'_{m,y})^2}} \quad (1)$$

15 where w is the soil moisture and ϕ is either the sensible heat flux or the latent heat
 16 flux. Primes denote anomalies from the mean annual cycle, and the correlations are
 17 calculated for each month m across the 95 years y of available data. This index is
 18 mathematically equivalent to the product of the standard deviation of the flux and
 19 the correlation between soil moisture and the flux (Guo et al. 2006), and has the
 20 same units as the flux (Wm^{-2}). Variables relevant to the atmospheric boundary layer
 21 are also derived, including the height of the lifting condensation level:

$$22 \quad Z_{LCL} = (T_{2m} - T_{D2m}) / (\Gamma_{Dry} - \Gamma_{Dew}) \cong 125(T_{2m} - T_{D2m}) \quad (2)$$

1 where 2m dew point T_{D2m} is estimated from near-surface relative humidity,
 2 temperature T_{2m} and the mean surface pressure. Standard lapse rates Γ are used.
 3 Relative humidity is converted to specific humidity q_{2m} for several of our analyses as
 4 well. Finally, we estimate the local mean value of the Priestley-Taylor coefficient
 5 based on the formulation of Betts (2004):

$$6 \quad \alpha = \left(\frac{\lambda E}{H + \lambda E} \right) \left(\frac{1 + \varepsilon}{\varepsilon} \right), \quad \varepsilon = \frac{\lambda}{C_p} \frac{dq}{dT} \Big|_{T_{LCL}} \quad (3)$$

7 When combined with information from the other calculations, variations in α can
 8 suggest how entrainment at the top of the growing daytime boundary layer may be
 9 changing relative to bulk aerodynamic and canopy resistances at the surface
 10 (Lhomme 1997).

11 It should be noted that we are using monthly mean model output to estimate
 12 quantities initially derived and applied on instantaneous (Z_{LCL}), daily (I_{LH}) and 5-day
 13 mean (α) data. Virtually all important land-atmosphere interactions are strongly
 14 tied to the diurnal cycle, but time-averaged data can be used conscientiously to
 15 tease out these processes (e.g., Betts 1994, 2004). Given the large spatial scales and
 16 long time series of this study, gross features and changes should be discernable
 17 from monthly data (Dirmeyer 2006).

18 One problem that cannot be ignored is the issue of spurious change signals in multi-
 19 model means from CMIP model data. Figure 1 gives an example from our current
 20 analysis. The difference in soil moisture between historical and pre-industrial
 21 experiments for JJA is shown. The results from the 15 individual models are shown

1 anonymously in (a) and their unweighted average is given in (b). Certain features of
2 the multi-model mean are not robust across models, such as the wetter conditions
3 over the far western continental U.S., or the drying in the central Great Plains. The
4 inter-model standard deviation (c) indicates these two areas have the least
5 agreement among models. For instance, it appears the wet signal over California and
6 Nevada is almost entirely due to the bottom model in the center column.

7 As a result, we choose to use the degree of consensus among models for the sign of
8 the change (d) as the primary indicator of climate change responses. The coloring
9 shows the number of models out of 15 that agree on a change of a given sign. We see
10 that the drying signals over Mexico, the St. Lawrence valley, the northern Great
11 Plains and Northwest Territories of Canada are indeed robust, but the drying over
12 the north-central U.S. does not have widespread consensus.

13 The consensus approach also lends itself to straightforward estimation of statistical
14 significance. We can treat each model's projected change at each location as having
15 equal probability of an increase or decrease. Under this null hypothesis, the
16 expected probability of any particular number of models agreeing, or more than a
17 certain number agreeing, follows directly (see Table 2). For instance, the likelihood
18 of 11 or more models randomly agreeing on a positive or a negative change is
19 11.84% ($2 \times 5.92\%$).

20 Local probabilities have limited meaning without a concept of the field significance.
21 Dirmeyer et al. (2012a) determined that for the land surface variables considered
22 here, there are about 200 spatial degrees of freedom across all ice-free land points.

1 The entirety of North America displayed in all plots in this study constitutes
2 approximately 17% of the global ice-free land area as depicted in the high-
3 resolution grid used for these analyses. Assuming the spatial scale of variability is
4 relatively uniform between continental and global scales, we estimate 34 spatial
5 degrees of freedom for North America. With this estimate, we can calculate the field
6 significance based on a set of 34 “events” where the outcome of each is based on the
7 “cumulative probability” row of Table 2, thereby determining how much we must
8 exceed the expected areal coverage of a given change at a given level of consensus to
9 have confidence of a certain degree. The last row shows the thresholds that need to
10 be met for areal coverage to be significant at the 99% confidence level. Based on
11 these statistics, 71% or more of North America would have to be covered by at least
12 a minimum (8:7) model consensus change of the same sign to be considered
13 significant. We only check for significance of exceedance on the tails of the
14 probability distribution – one could also test the likelihood of being significantly
15 below the expected fraction of area as well.

17 **3. Results**

18 Calculations and significance testing has been performed on all fields and metrics
19 for both past (historical minus pre-industrial) and future (RCP85 minus historical)
20 cases. However, the results presented here will focus more on the future changes
21 than the past, which are stronger and more significant in most cases. Where past

1 changes suggest significant or interesting results, and where they have some
2 bearing on our interpretation of future projections, they are also shown.

3 Figure 2 shows the multi-model mean soil moisture from the historical run for MAM
4 and JJA (top row), and the past (middle) and future (bottom) changes, represented
5 as the sign of the change and the degree of consensus among models. The right
6 middle panel is the same as Fig 1(d). The mean fields show clearly the climatological
7 drying from spring to summer over most of North America, with the principal
8 exception over much of Mexico where the North American monsoon brings seasonal
9 rains during JJA. The models indicate that soil moisture has decreased over much of
10 North America in both seasons since pre-industrial conditions, with especially
11 robust signals during both seasons over most of Mexico and much of Canada. The
12 models suggest spring has become drier over the western Great Plains and eastern
13 Rockies of the U.S., but wetter along the West Coast. Table 3 shows that the
14 consensus decreases of soil moisture in both seasons cover a significantly large
15 portion of the continent. The same is true for the percentage of area with
16 moderately high (≥ 12 models) agreement on drying soils, and during JJA for very
17 high (≥ 14 models) consensus.

18 Similar statistics for future changes are shown in Table 4. For soil moisture,
19 corresponding to the bottom panels of Fig 2, agreement on drying is significant at all
20 thresholds of consensus, and is nearly complete during JJA. During MAM there is a
21 region of agreement near the Arctic coast for wetter soils. However over most well-
22 populated and cultivated areas, soil moisture is projected to decrease.

Past changes in surface heat fluxes and net radiation are shown in Fig 3. The pre-industrial simulations of the models are free of anthropogenic aerosols, whereas the time evolution of such emissions is provided as a boundary condition for the historical experiment (Taylor et al. 2012). The signal of aerosols is very clear over much of the land area from southern Canada southward. The reduced net surface radiation is mainly a product of the increased shortwave optical depth in the CMIP5 models from the pre-industrial to the historical run. At higher latitudes, the present-day warming signal is apparent as increased net surface radiation is caused by greater downward longwave radiation. These changes are reflected strongly in the mid-latitudes in the sensible heat flux field. In the subtropics, latent heat flux follows the pattern and evolution of net radiation. At high latitudes, the changes in net radiation appear to partition between both heat fluxes, favoring sensible heat slightly in spring, and latent heat in summer. Field significance statistics for these variables are listed in Table 3. During MAM the percentage area under various portions of the negative tail of the distribution are usually significantly large. In summer, the area where at least 12 models agree on increases in net radiation and latent heat flux is significant, as are matching degrees of agreement for decreases in both net radiation and sensible heat flux. The significant areas with changes of both signs for net radiation show that the distributions do not merely shift but can also spread, with extremes of different signs emerging over large but separate areas of the continent.

Figure 4 shows the consensus for projected future changes in surface energy terms. We omit the net radiation plots here – they are almost uniformly positive except

1 over the desert Southwest and North American monsoon region, where consensus is
2 weak or moderately negative (refer to Table 4 for the field significance statistics).
3 The features can be summarized as follows. The combination of strong warming and
4 greatly reduced aerosols in the RCP85 experiment lead to a strong increase in
5 available energy at the surface in most regions. Broad bands where a strong
6 consensus increase in latent heat flux corresponds to a weak-to-moderate decrease
7 in sensible heat flux align along the margin marking the accelerated snowmelt
8 margin that follows the southern edge of the retreating snowpack through the
9 season (northern U.S. and southern Canada in MAM, northern Canada and Alaska in
10 JJA). Otherwise, there is a persistent signal of increased sensible heat fluxes in both
11 seasons, agreement on reduced latent heat fluxes over Mexico and far southwestern
12 U.S. in MAM extending into the lower Mississippi Valley and Great Plains in JJA, and
13 increasing latent heat flux in boreal regions. The dominance of areas of positive
14 trends is evident in Table 4, although the southern decreases in latent heat flux are
15 able to cross the 99% confidence threshold for certain ranges of model consensus.

16 As surface fluxes are the channel by which anomalies in soil moisture are translated
17 to the atmosphere, the changes we have shown set the stage for determining trends
18 in land-atmosphere interactions from past to future. Figure 5 shows the multi-
19 model mean distribution of the terrestrial coupling index between soil moisture and
20 latent heat flux for the historical experiment (top) and the change going forward to
21 the RCP85 experiment (bottom). The climatological distribution in current climate
22 shows that regions of land-atmosphere coupling are confined to Mexico and the
23 southwestern U.S. in spring, but expand northward and eastward to encompass all

1 of the Great Plains, the Gulf Coast and some of the inter-mountain West, with a
2 maximum over Texas.

3 Changes from the pre-industrial experiment are not shown – they are mostly small
4 and noisy for JJA, but there is some coherent tendency for a decline in spring across
5 the northern U.S., in alignment with the reduced net radiation seen in Fig 3, and
6 linked to a reduction in the interannual variance of latent heat flux from pre-
7 industrial to historical cases. None of the past changes pass field significance (Table
8 3). Figure 5 shows a seesaw pattern of future change for MAM. Along the northern
9 edge of positive values of the coupling index, across the southern U.S., there are
10 positive changes that extend northward into southern Canada. The implication,
11 since this is a season of northward expansion of land-atmosphere feedbacks, is that
12 the coupling is being established earlier in the year in the RCP85 scenario. The
13 reductions at high latitudes are small in magnitude, albeit well agreed across models,
14 and do not suggest a significant change to land-atmosphere feedbacks in that area.
15 During JJA, the extensive range of robust terrestrial coupling (values greater than
16 about 9 Wm^{-2}) is largely unaffected, but all around the northern and eastern
17 margins there is consensus for future increases, suggesting the range of territory of
18 the so-called “hot spot” of land-atmosphere coupling over North America could
19 expand. Continental field significance for these changes is strong (Table 4).

20 A similar index can be constructed using sensible heat flux in place of latent heat
21 flux. The correlation between soil moisture and sensible heat flux is generally
22 negative during spring and summer, and is associated with the control of soil
23 moisture on the growth of the PBL (Betts 2004). As with the correlation between

1 soil moisture and latent heat flux, the relationship between sensible heat flux and
2 soil moisture during MMA is strong, although inverse, over Mexico and the southern
3 US, dropping to uncorrelated at high latitudes (not shown). During JJA, strong
4 negative correlations are present across North America. The changes in the RCP85
5 experiment for MAM indicate a widespread strengthening of the negative
6 correlation over most of the continent north of 30°N (not shown). This hints again at
7 a stronger role for soil moisture as a control on the overlying atmosphere, the
8 growth of the boundary layer in this case. We can quantify further the evolving
9 connection between the land surface and atmospheric boundary layer by
10 investigating the derived quantities in Eqs (2) and (3).

11 Figure 6 shows the historical multi-model mean and changes (past to present, and
12 present to future) for the height of the lifting condensation level. Z_{LCL} is largest in
13 both seasons over the Sonoran desert, but north of about 40-45°N the highest values
14 are over the longitudes corresponding with the high plains east of the Rockies. The
15 cloud base is lowest at high latitudes in spring, and over Alaska, northeastern
16 Canada and the Mid-Atlantic region of the U.S. during summer. Z_{LCL} represents the
17 depth to which the atmospheric boundary layer must grow to trigger clouds. The
18 values shown here, based on monthly mean data, are not representative of specific
19 synoptic conditions, but give a good idea of the amount of heating needed to spawn
20 convection in the absence of dynamical process in the atmosphere.

21 The CMIP5 models concur that Z_{LCL} has increased over much of the continent since
22 industrialization, except over the characteristic region of increased aerosols where
23 the cloud base has dropped. Consensus changes for JJA are generally weak, except

1 over the monsoon areas of Mexico and Central America where Z_{LCL} has increased.
 2 Future projections are for higher cloud bases across North America in both seasons.
 3 The overwhelming field significance for this increase is evident in Table 4.
 4 Lastly, we show the changes to the Priestley-Taylor coefficient α in Fig 7. There is an
 5 inverse correspondence between Z_{LCL} and α . The Priestley-Taylor coefficient is a
 6 measure of the efficiency of surface evaporation into the boundary layer, and one
 7 controlling factor is the gradient of humidity across the entrainment zone at the top
 8 of the boundary layer. This gradient weakens as the atmospheric boundary layer
 9 deepens, so that entrainment becomes less effective at reducing the moisture
 10 content within the boundary layer. As a result, the humidity gradient at the bottom
 11 of the well-mixed boundary layer, between the land surface and the air above it, is
 12 not as effectively maintained by the mixing within the boundary layer, thus
 13 hampering evaporation. However, because α also contains a factor representing the
 14 evaporative fraction, controls of surface and near-surface properties (e.g.,
 15 aerodynamic resistance and stomatal conductance) are also represented.
 16 The mean field of α shows a gradient from low values over warm dry regions to high
 17 values over cool moist regions where entrainment is most effective at maintaining
 18 surface evaporation by drying the atmospheric boundary layer. Changes from pre-
 19 industrial to historical experiments show areas of both positive and negative
 20 changes, especially during spring. The increases over the U.S and southern Canada
 21 again correspond to the region of increased aerosols. However, only the decreases
 22 during summer pass field significance thresholds (Tables 3 and 4). Future changes

are uniformly negative with strong consensus among models. The match with increasing height of the lifting condensation level suggests this shift is dominated by the reduced humidity gradient across the elevated entrainment zone.

4. Synthesis

We attempt here to integrate the results found in the projected changes of land surface state variables, fluxes and derived metrics. Figure 8 shows a set of maps like those in the previous figures, defining broad areas of prevalent behavior for the two seasons and periods of simulated change by the CMIP5 models. All changes shown are based on high degrees of model consensus, and not necessarily an extraordinary magnitude of change.

The changes from pre-industrial to historical experiments are largely defined by specific regions of unitary response, such as the high-latitude warming that results in increased available surface energy, latent heat flux, and in summer drier soils and a deeper boundary layer. Spring shows many limited regions of specific responses to increasing aerosols in mid-latitudes. The west coast of the conterminous U.S. becomes rainier and soils wetter, but latent heat flux drops as this is an energy-limited regime. Across Mexico, where there is a positive feedback in the terrestrial water cycle, reduced latent heat flux accompanies drier soils and decreasing rainfall. Reduced net radiation over much of the U.S. and southern Canada has different manifestations in different areas. Across the core of the industrial belt around the Great Lakes, sensible heat is reduced and the cloud base drops. Further south

1 around the Ohio Valley, the boundary layer changes little despite the changes in
2 surface heat flux.

3 The central Great Plains is a particularly interesting region of change. The model
4 consensus is that precipitation has decreased since pre-industrial conditions, but
5 latent heat flux and atmospheric boundary layer properties remain largely
6 unchanged. In particular, α fails to increase in this area (see Fig 7). This may be an
7 example of land use change having a direct impact, as the spread of agricultural
8 crops into the prairies may have lowered the bulk aerodynamic and canopy
9 resistances to moisture fluxes in this region.

10 The summertime changes from pre-industrial to historical conditions are more
11 large-scale and homogeneous. There are basically three zonal bands; the high-
12 latitude warming band with increased net radiation, the mid-latitude band of
13 reduced net radiation and sensible heat flux where anthropogenic aerosols have
14 increased, and the subtropical band of drying and deepening of the boundary layer.

15 Changes from historical to RCP85 projections seem to be defined by broad north-
16 south dipoles in a number of fields, each with a node at a different latitude. During
17 spring, most of North America has drier soils except along the Arctic coast. However,
18 the line between increasing and decreasing rainfall lies much further to the south.
19 Approximately midway between the zero lines for precipitation change and soil
20 moisture change is a band where consensus for an increase in latent heat flux is
21 maximum. This is also the only region of the continent where sensible heat flux is
22 not increasing, and here the cloud base is not higher. The line dividing increasing

1 latent heat flux to the north from decreasing latent heat flux to the south lies near
2 the U.S.-Mexico border. Between that line and the zero-change line for rainfall is the
3 region where the coupling index between land and atmosphere has its maximum
4 consensus for increase, along the northern edge of the strongest springtime
5 coupling. However, the area over which the CMIP5 models suggest the land-
6 atmosphere coupling will increase extends from southern Mexico to southern
7 Canada.

8 During summer the nodes retreat poleward and become less zonal in orientation.
9 Soil moisture decreases prevail over the entire continent. The line between
10 increasing and decreasing rainfall extends from British Columbia to Hudson Bay,
11 across Quebec and down the East Coast to the Mid-Atlantic. The line between
12 increasing and decreasing latent heat flux remains south of the precipitation node,
13 and the greatest consensus for increasing land-atmosphere coupling is again
14 between them, most prevalent over the Ohio Valley and parts of the Canadian Shield.
15 Coupling increases over most of North America north of a line a couple hundred
16 kilometers north of the U.S.-Mexico border, and is largely unchanged south of that
17 line.

18

19 **5. Summary and Conclusions**

20 Results of past and future projected changes to climate variables relevant to land-
21 atmosphere interactions over North America have been presented, based on
22 consensus among 15 CMIP5 models. Because of possible vagaries in the changes

1 simulated by specific models for specific variables, which are very difficult to
2 ameliorate in an objective fashion, all assessments are made in terms of the degree
3 of consensus among models for either a positive or negative change in each term at
4 each location. We focus on the spring and summer seasons, and assess changes in a
5 representation of past climate change (the historical experiment minus the pre-
6 industrial experiment) and extreme future climate change (RCP85 minus historical).

7 Past changes over North America as rendered by the CMIP5 models are driven by a
8 combination of three main factors – high-latitude warming from changing
9 atmospheric composition, the increase of anthropogenic aerosols over populated
10 regions with the onset of industrialization, and other regional precipitation changes
11 which appear to be occurring as the general circulation of atmosphere and ocean
12 respond to these radiative changes. The models concur that a reduction in
13 precipitation has taken place across subtropical North America since pre-industrial
14 conditions. There is also evidence for a response over the middle of the continent in
15 spring that is consistent with the effect that the expansion of agriculture over the
16 Great Plains would have on surface properties.

17 Anthropogenic aerosols appear to have delayed slightly the transition over much of
18 the continent from wintertime conditions where the atmosphere is the main
19 controller of surface fluxes to the summertime situation where soil moisture drives
20 the partitioning of net radiation between sensible and latent heat flux. This shows as
21 a general reduction in the terrestrial coupling index during MAM (see Table 3),
22 which does not pass field significance at the 99% confidence level, but would at the
23 90% level. By summer, there appears to be little change in land-atmosphere

1 coupling, but a reduction in sensible heat flux and cloud base over much of the mid-
2 latitudes hints at the possibility that convective precipitation may have been
3 inhibited somewhat with industrialization. It would be reasonable to speculate that
4 recent indications of increasing convective rainfall and severe storms over the U.S.
5 could be linked to cleaner air as well as increasing greenhouse gas concentrations.
6 Sensitivity studies with climate models could examine this point further.

7 Future changes suggest that the springtime onset of land-atmosphere feedbacks will
8 come earlier and penetrate further north and east into the center of the continent.
9 This has implications for climate predictability and prediction, as anomalies in the
10 land surface properties, such as soil moisture, can be a source of skill for forecasts
11 on weekly to seasonal time scales. As the continent warms, sub-seasonal climate
12 forecasts could draw more skill from land surface initialization. The growing role of
13 land-atmosphere feedbacks could also be a contributing factor to the increased
14 propensity toward extremes in the hydrologic cycle (e.g., more frequent and longer
15 droughts) seen in most climate projections. This would make careful monitoring of
16 soil moisture and other aspects of the land surface even more crucial.

17 The “rebound” in land-atmosphere predictability (Guo et al. 2012) that seems to set
18 in around the first of June over the central Great Plains may begin earlier in the
19 future. Again, it would be straightforward to test whether this would be the case
20 using one or more climate models in properly designed experiments.

21 Changes in the atmospheric boundary layer during spring and summer are generally
22 toward warmer and drier conditions (in terms of specific humidity deficit or dew-

1 point depression) and deeper development to reach a rising convective cloud base.
2 These changes reduce the impact on the boundary layer humidity of entrainment.
3 This occurs at the same time that the impact of surface fluxes and land-atmosphere
4 feedbacks enhance the impact of the land surface on the boundary layer. Thus, both
5 the absolute and relative roles of the land surface in modifying the atmosphere over
6 North America are projected to increase. In terms of local coupling between land
7 and atmosphere (Santanello et al. 2011; Dirmeyer et al. 2012b), the importance of
8 the land surface is increasing.

9 A few caveats about this analysis should be noted. The past changes simulated by
10 the models cannot be interpreted in terms of trend, because we represent them as a
11 degree of consensus among models for a certain binary change (either increase or
12 decrease) in each quantity examined. Furthermore, we use 95-year means from
13 each experiment; so we do not emphasize the later part of the historical climate
14 record where observed trends are strongest. For the future changes, we chose the
15 most extreme of the scenarios on the basis that if we find no significant impacts in
16 the most severe case, there is little chance to find effects in the more moderate
17 projections. It would be worthwhile to examine results from other representative
18 concentration pathways to see whether the responses vary linearly with the
19 strength of the radiative signal. Finally, as alluded to earlier in this section, the
20 changes found tend to implicate certain processes to a greater or lesser degree, but
21 further sensitivity studies with climate models are necessary to establish cause-
22 effect relationships with confidence.

23

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- 8

1 Table 1: Models used in this study.

Center	Model Version	Resolution
Canadian Centre for Climate Modelling and Analysis	CanESM2	128 x 64
Centre National de Recherches Météorologiques / Centre Européen de Recherche et de Formation Avancée en Calcul Scientifique	CNRM-CM5	256 x 128
Commonwealth Scientific and Industrial Research Organisation -Bureau of Meteorology	ACCESS1-0	192 x 145
Institute for Numerical Mathematics	INM-CM4	180 x 120
Institut Pierre-Simon Laplace	IPSL-CM5A-LR	96 x 96
	IPSL-CM5A-MR	144 x 143
	MIROC-ESM	128 x 64
University of Tokyo Division of Climate System Research	MIROC-ESM-CHEM	128 x 64
	MIROC5	256 x 128
	HadGEM2-CC	192 x 145
Met Office Hadley Centre	HadGEM2-ES	192 x 145
	MRI-CGCM3	320 x 160
Meteorological Research Institute, Japan Meteorological Agency		
NASA-Goddard Institue for Space Studies	GISS-E2-R	144 x 90
Norwegian Climate Centre	NorESM1-M	144 x 96
NOAA-Geophysical Fluid Dynamics Laboratory	GFDL-ESM2M	144 x 90

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1 Table 2: Probabilities of various degrees of consensus among 15 models, under the
2 null hypothesis that each model returns a positive or negative change over each grid
3 box with equal probability. The local probabilities are listed in the first two rows.
4 The last row shows the thresholds for field significance over North America
5 assuming 34 spatial degrees of freedom and a confidence level of 99%.

Count	0, 15	1, 14	2, 13	3, 12	4, 11	5, 10	6, 9	7, 8
Probability	0.00003	0.00046	0.0032	0.0139	0.0417	0.0916	0.153	0.196
Cumulative Probability	0.00003	0.00049	0.0037	0.0175	0.0592	0.151	0.304	0.500
%Area of area (99% confidence)	1.76%	3.21%	5.62%	10.0%	18.3%	32.1%	50.9%	70.9%

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1 Table 3. Percentage of North American land area with the indicated degree of model
2 consensus for changes from pre-industrial to historical experiments. Situations
3 where the area exceeds the levels expected by chance at the 99% confidence level
4 are shown in bold.

	Models decreasing		% land	Models increasing	
	≥14	≥12	increase	≥12	≥14
MAM:					
Mean Soil Moisture	0.9%	12.7%	23.4%	1.2%	0.1%
Mean Surface Net Radiation	7.2%	34.9%	30.4%	4.5%	0.4%
Mean Sensible Heat Flux	6.0%	25.1%	36.0%	3.8%	0.2%
Mean Latent Heat Flux	0.4%	10.6%	39.8%	2.6%	0.3%
Coupling Index $I(w,LH)$	0.5%	8.5%	29.1%	0.7%	<0.1%
Lifting Condensation Level Z_{LCL}	<0.1%	3.2%	68.4%	20.3%	2.2%
Priestley-Taylor Coefficient α	1.5%	8.1%	44.2%	9.3%	1.7%
JJA:					
Mean Soil Moisture	4.8%	33.9%	12.7%	0.1%	0%
Mean Surface Net Radiation	5.6%	21.9%	41.9%	10.2%	1.3%
Mean Sensible Heat Flux	2.5%	15.5%	40.7%	5.3%	0.8%
Mean Latent Heat Flux	2.5%	6.1%	56.7%	11.8%	1.5%
Coupling Index $I(w,LH)$	<0.1%	1.2%	58.5%	3.6%	0.3%
Lifting Condensation Level Z_{LCL}	0%	0.1%	72.6%	9.8%	3.1%
Priestley-Taylor Coefficient α	4.6%	12.1%	33.9%	0.8%	0%

5
6

1 Table 4. As in Table 3 for changes from historical to RCP85 experiments.

	Models decreasing		% land	Models increasing	
	≥14	≥12	increase	≥12	≥14
MAM:					
Mean Soil Moisture	33.7%	63.2%	15.6%	0.5%	0%
Mean Surface Net Radiation	0%	1.9%	94.3%	84.4%	44.8%
Mean Sensible Heat Flux	<0.1%	0.2%	80.9%	35.7%	7.1%
Mean Latent Heat Flux	4.2%	10.0%	82.7%	71.9%	28.8%
Coupling Index $I(w,LH)$	1.8%	16.0%	52.5%	23.2%	9.6%
Lifting Condensation Level Z_{LCL}	0%	0%	99.7%	65.5%	20.0%
Priestley-Taylor Coefficient α	45.6%	88.2%	0.9%	0%	0%
JJA:					
Mean Soil Moisture	41.1%	79.7%	1.2%	0%	0%
Mean Surface Net Radiation	0%	<0.1%	94.8%	70.0%	10.4%
Mean Sensible Heat Flux	<0.1%	1.8%	83.7%	39.9%	11.4%
Mean Latent Heat Flux	1.5%	11.3%	61.9%	37.0%	11.8%
Coupling Index $I(w,LH)$	0%	0.4%	83.4%	36.4%	5.6%
Lifting Condensation Level Z_{LCL}	<0.1%	0.1%	98.1%	68.7%	32.9%
Priestley-Taylor Coefficient α	62.2%	93.4%	0.1%	0%	0%

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1 **Figure Captions:**

2 Figure 1. JJA individual model projections of historical minus pre-industrial change
3 in soil moisture (a); 15-model mean change with equal weighting of each model (b);
4 inter-model standard deviation of JJA change in soil moisture(c); sign and degree of
5 consensus among the 15 models for the change in soil moisture (d).

6 Figure 2. Seasonal multi-model mean soil moisture from the historical experiment
7 (top); sign and degree of consensus among the models for historical minus pre-
8 industrial (middle) and RCP85 minus historical (bottom) for MAM (left) and JJA
9 (right).

10 Figure 3. Sign and degree of consensus among models for changes (historical minus
11 pre-industrial) in net radiation (top), sensible heat flux (middle) and latent heat flux
12 (bottom).

13 Figure 4. As in Fig 3 for RCP85 minus historical experiments (net radiation not
14 included).

15 Figure 5. Seasonal multi-model mean of the terrestrial coupling index from the
16 historical experiment (top); sign and degree of consensus among the models for
17 RCP85 minus historical (bottom).

18 Figure 6. As in Fig 2 for height of the lifting condensation level Z_{LCL} .

19 Figure 7. As in Fig 2 for height of the Priestley-Taylor coefficient α .

1 Figure 8. Synthesis of changes in land-atmosphere quantities for preceding (top)
2 and projected future (bottom) climate for spring (left) and summer (right). See
3 section 4 for details.

4

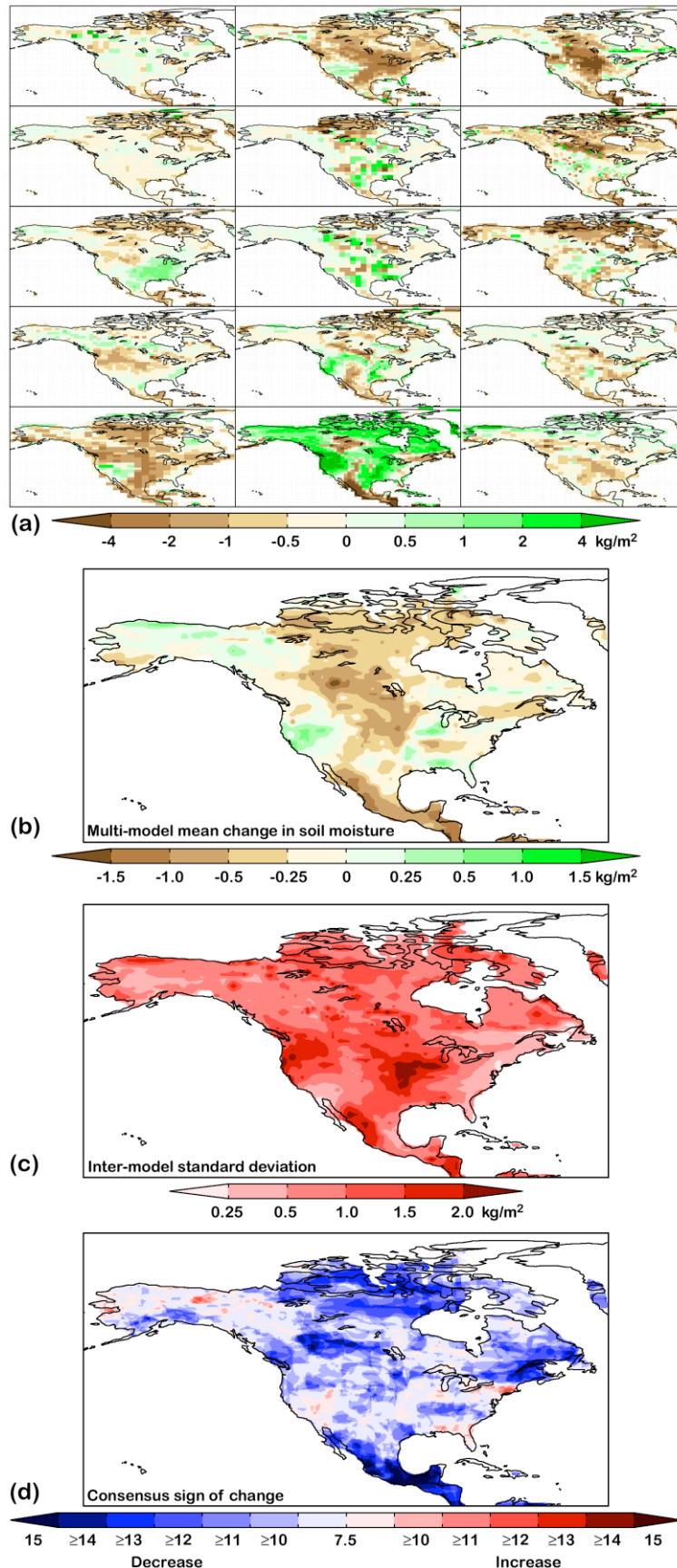


Figure 1. JJA individual model projections of historical minus pre-industrial change in soil moisture (a); 15-model mean change with equal weighting of each model (b); inter-model standard deviation of JJA change in soil moisture(c); sign and degree of consensus among the 15 models for the change in soil moisture (d).

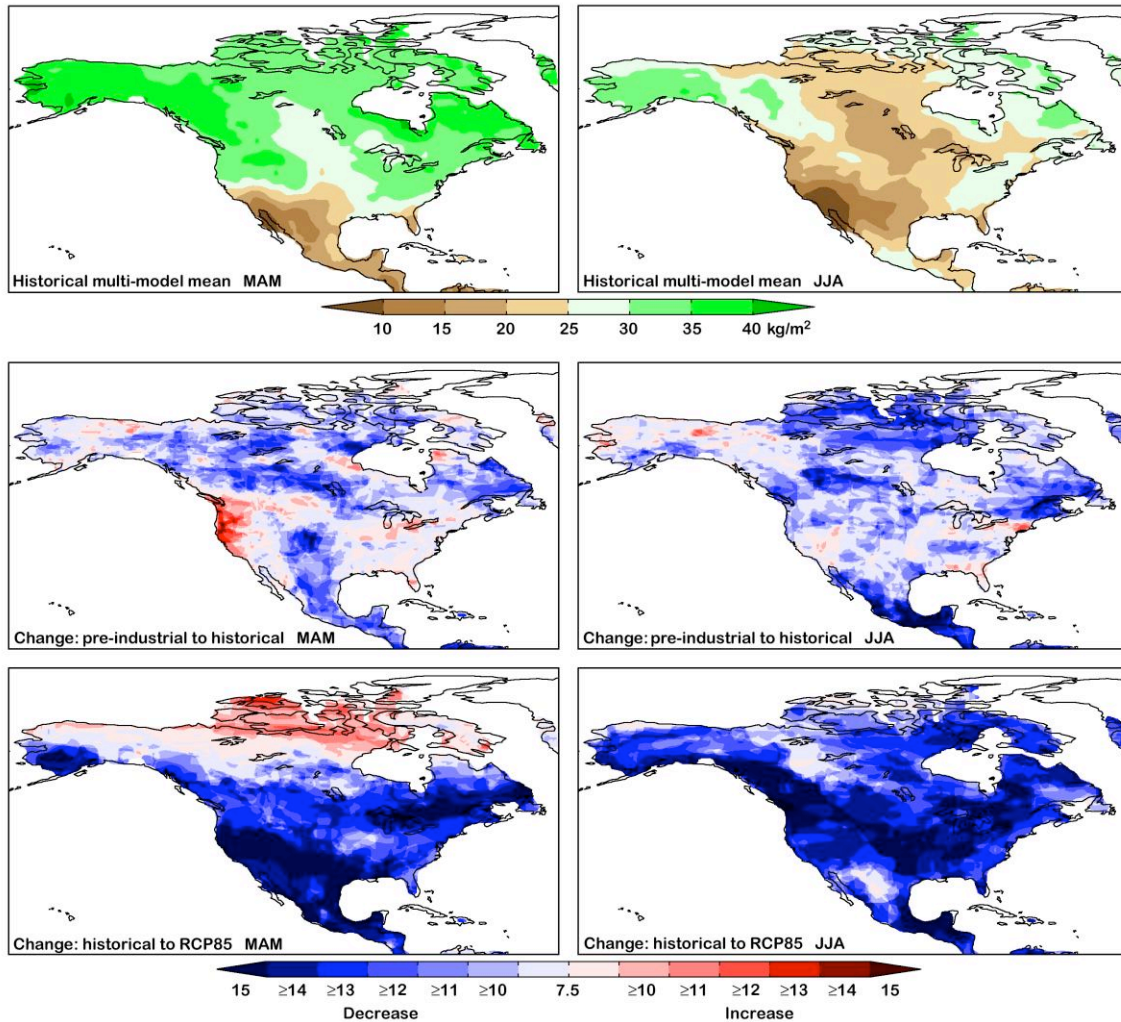


Figure 2. Seasonal multi-model mean soil moisture from the historical experiment (top); sign and degree of consensus among the models for historical minus pre-industrial (middle) and RCP85 minus historical (bottom) for MAM (left) and JJA (right).

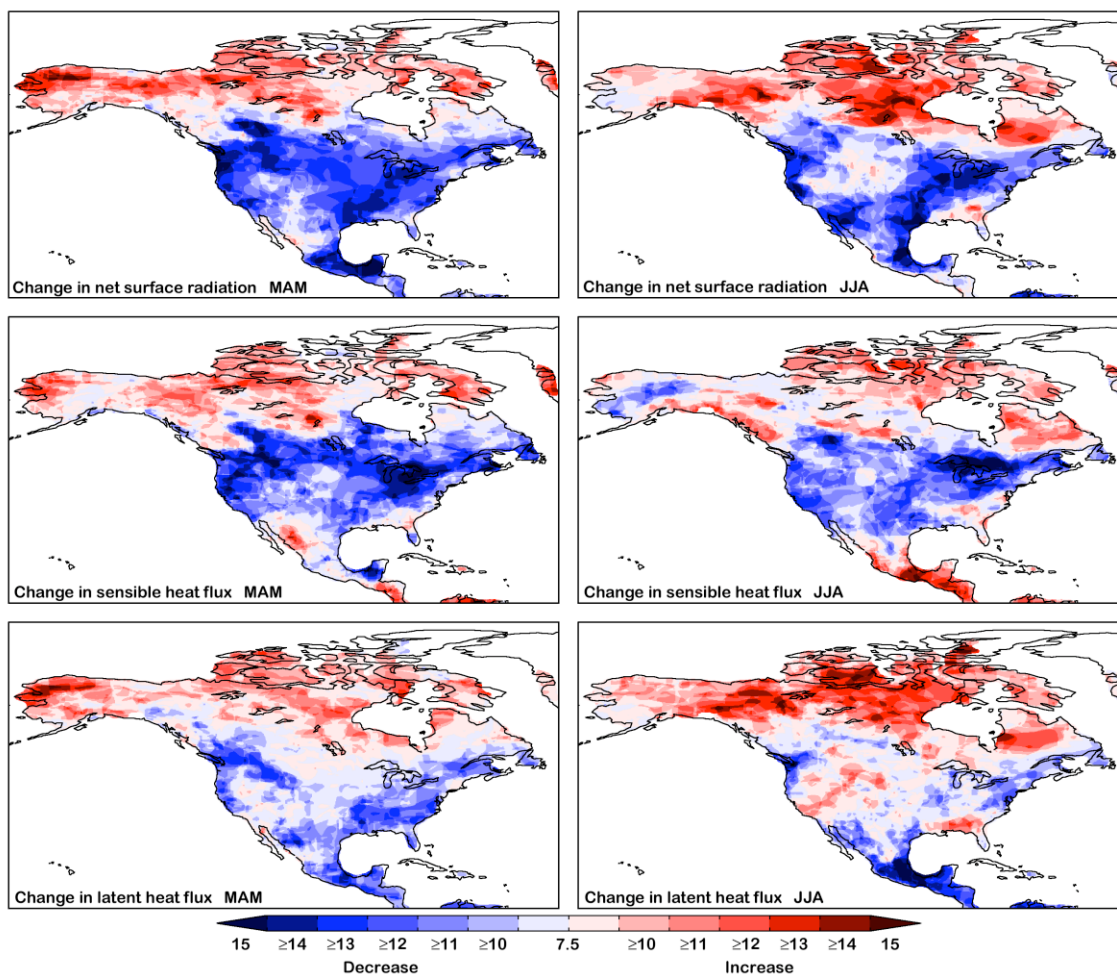


Figure 3. Sign and degree of consensus among models for changes (historical minus pre-industrial) in net radiation (top), sensible heat flux (middle) and latent heat flux (bottom).

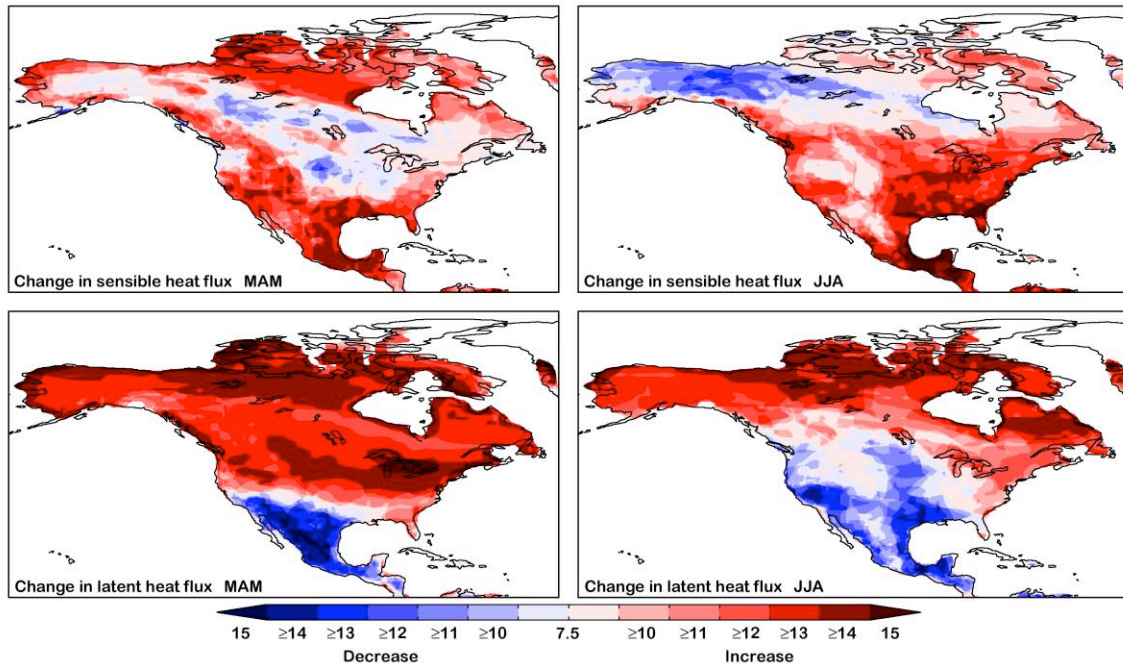


Figure 4. As in Fig 3 for RCP85 minus historical experiments (net radiation not included).

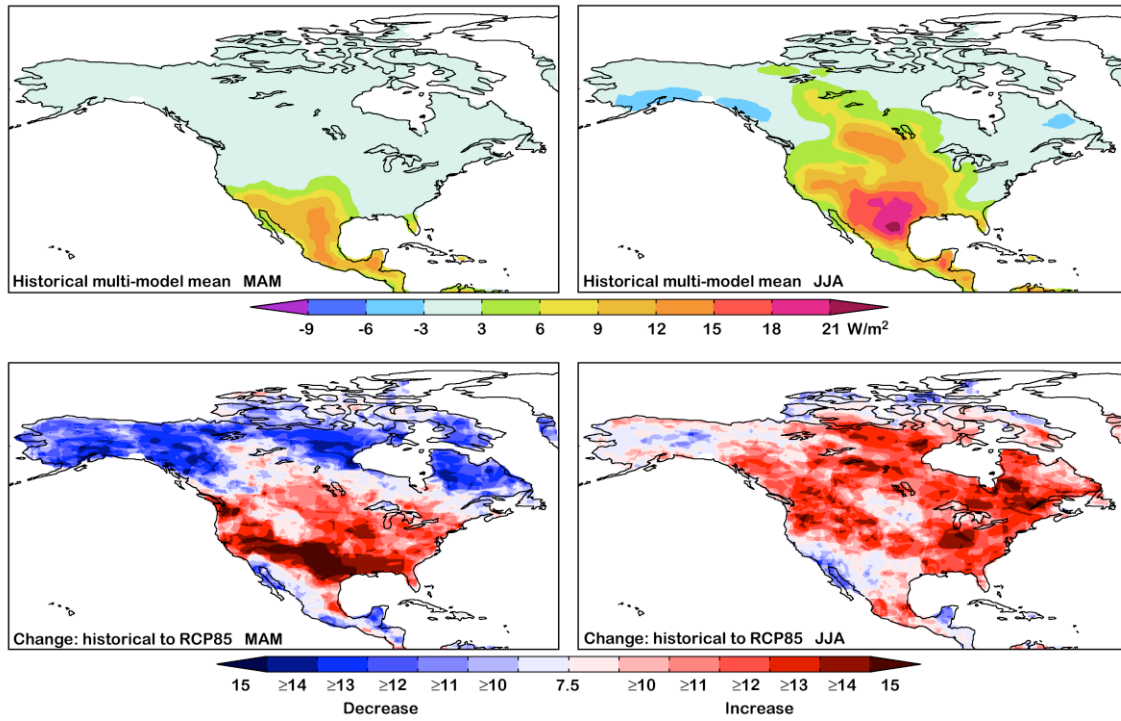


Figure 5. Seasonal multi-model mean of the terrestrial coupling index from the historical experiment (top); sign and degree of consensus among the models for RCP85 minus historical (bottom).

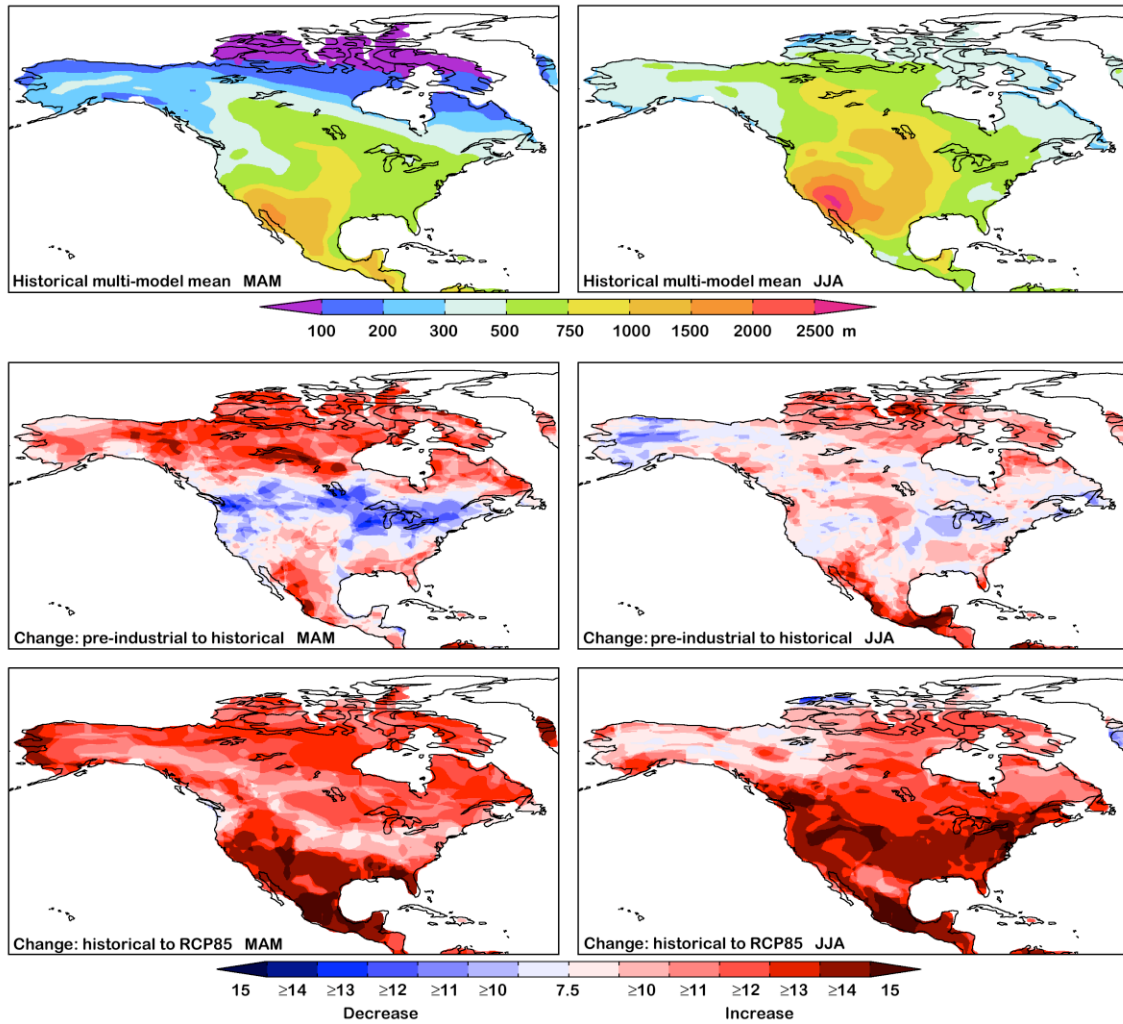


Figure 6. As in Fig 2 for height of the lifting condensation level Z_{LCL} .

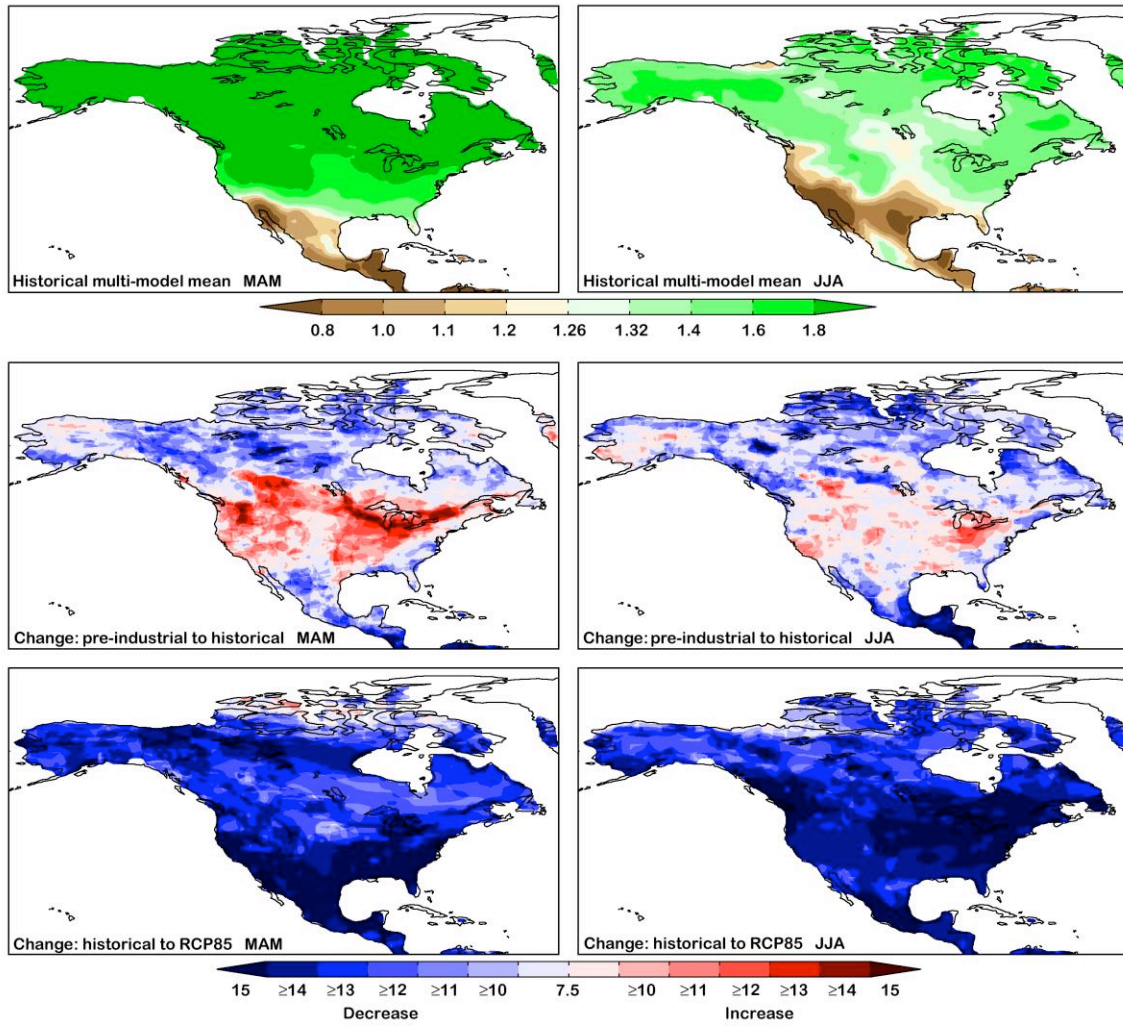
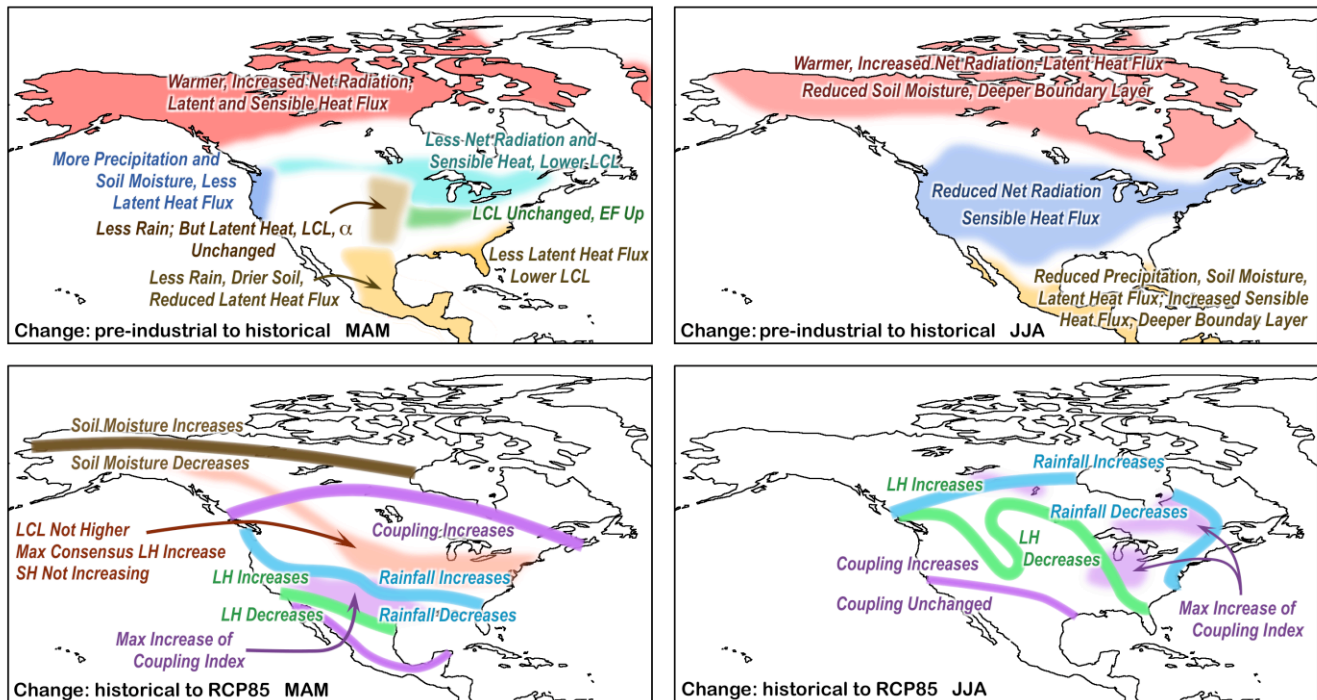


Figure 7. As in Fig 2 for height of the Priestley-Taylor coefficient α .



1 Figure 8. Synthesis of changes in land-atmosphere quantities for preceding (top)
 2 and projected future (bottom) climate for spring (left) and summer (right). See
 3 section 4 for details.

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 5